

Total pages: 6

From:
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To:
United States Patent And Trademark Office
Mail Stop 16
Director of the USPTO
P O. Box 1450
Alexandria, VA 22313-1450
Tel: 571-272-6500
Fax: 571-273-6500

Date: Jan. 10, 2006

Re: Request for a refund (\$575) of the patent application fee due to paid too much, patent application number: 10/764,252

Dear Ms/Sir,

I submitted a patent application entitled in "Ion Trap Mass Spectrometry", application number 10/764,252 on Jan. 23rd of 2004. In the application submission, I paid for the basic fee, total claims 63 and independent claims 9:

- | | |
|--|----------|
| 1) Basic fee: | \$385.00 |
| 2) Total chargeable claims $63 - 20 = 43 \times \$9 =$ | \$387.00 |
| 3) Independent claims $9 - 3 = 6 \times \$43 =$ | \$258.00 |
| Total: \$1030.00 | |

Please see the patent application fee determination record and the copy of Fee Transmittal for FY 2004.

In final amendment, the total claims are 23 and independent claims are 4, please see the patent application fee determination record. All other canceled claims had been filed in two related divisional patent applications 11/057,345 and 11/188,930 and paid all application fees.

After amendment of 10/764,252,

- | | |
|---|----------|
| 1) Basic fee: | \$385.00 |
| 2) Total chargeable claims $23 - 20 = 3 \times \$9 =$ | \$27.00 |
| 3) Independent claims $4 - 3 = 1 \times \$43 =$ | \$43.00 |
| Total: \$455.00 | |

Approved for use through 12/31/2006 OMB 0551-302
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FEE TRANSMITTAL for FY 2004

Effective 10/01/2003, Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$) 1030

Complete if Known

Application Number N/A
Filing Date 01/23/2004
First Named Inventor YANG WANG
Examiner Name Unknown
Art Unit Unknown
Attorney Docket No. N/A

METHOD OF PAYMENT (check all that apply)

☐ Check ☒ Credit card ☐ Money Order ☐ Other ☐ None

☐ Deposit Account

Deposit Account Number
Deposit Account Name

The Director is authorized to: (check all that apply)

☒ Charge fee(s) indicated below ☒ Credit entry to payments

☒ Charge any additional fee(s) or any underpayment fee(s)

☐ Charge fee(s) indicated below except for the filing fee to the above identified deposit account

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
1001 175	2001 335	Utility filing fee	335
1002 340	2002 170	Design filing fee	
1003 530	2003 265	Plant filing fee	
1004 770	2004 385	Reissue filing fee	
1005 160	2005 30	Provisional filing fee	
SUBTOTAL (1)			(\$) 335

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

		Extra Claims		Fee from below	Fee Paid
Total Claims	63	20** = 43	x	9	387
Independent Claims	9	3** = 6	x	43	258
Multiple Dependent					0

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
1202 18	2202 9	Claims in excess of 25
1201 86	2201 43	Independent claims in excess of 3
1203 200	2203 145	Multiple dependent claims in excess of 3
1204 86	2204 43	** Reissue independent claims over original patent
1205 18	2205 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 645

** or number previously paid, if greater. For Reissues \$99 above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity - Small Entity

Fee Code (\$)	Fee Code (\$)	Fee Description	Fee Paid
1051 130	2051 65	Surcharge - late filing fee or oath	
1052 50	2052 25	Surcharge - late provisional filing fee or cover sheet	
1053 130	2053 65	Not Proper specification	
1012 2,520	2012 1,260	For filing a request for ex parte reexamination	
1404 920*	2404 460*	Requesting publication of SIR prior to Examination action	
1805 1,840*	2805 920*	Requesting publication of SIR after Examination action	
1251 110	2251 55	Extension for reply within first month	
1252 420	2252 210	Extension for reply within second month	
1253 850	2253 425	Extension for reply within third month	
1254 1,480	2254 740	Extension for reply within fourth month	
1255 2,010	2255 1,005	Extension for reply within fifth month	
1401 330	2401 165	Notice of Appeal	
1402 330	2402 165	Filing of form support of an appeal	
1403 290	2403 145	Request for oral hearing	
1451 1,510	2451 755	Petition to institute a public use proceeding	
1452 110	2452 55	Petition to revive - unavoidable	
1453 330	2453 165	Petition to revive - unintentional	
1501 1,330	2501 665	Utility issue fee (or reissue)	
1502 480	2502 240	Design issue fee	
1503 840	2503 420	Plant issue fee	
1460 130	2460 65	Filing fee to the Commissioner	
1807 50	2807 25	Provisional fee under 37 CFR 1.17(g)	
1808 180	2808 90	Submission of Information Disclosure Sheet	
8021 40	2802 20	Recording each patent assignment per property (times number of properties)	
1809 770	2809 385	Filing a submission after final rejection (37 CFR 1.129(a))	
1810 770	2810 385	For each additional invention to be examined (37 CFR 1.129(b))	
1801 770	2801 385	Request for Continued Examination (RCE)	
1802 900	2802 450	Request for expedited examination (1 & Design application)	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 0

SUBMITTED BY

Name (Printed) YANG WANG
Signature X *Yang Wang*
Registration No. [blank]
Telephone 978 692 4239
Date X 01/23/2004

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.27 and 1.27. The information is required to obtain or maintain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.27. This collection is estimated to take 12 minutes to complete including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS.
SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need another copy of this form, call 1-800-368-5690 or visit our website at www.uspto.gov

PATENT APPLICATION FEE DETERMINATION RECORD

Effective October 1, 2003

Application or Docket Number

10764252

CLAIMS AS FILED - PART I

(Column 1) (Column 2)

TOTAL CLAIMS	63	
FOR	NUMBER FILED	NUMBER EXTRA
TOTAL CHARGEABLE CLAIMS	63 minus 20 =	43
INDEPENDENT CLAIMS	9 minus 3 =	6
MULTIPLE DEPENDENT CLAIM PRESENT <input type="checkbox"/>		

* If the difference in column 1 is less than zero, enter "0" in column 2

CLAIMS AS AMENDED - PART II

(Column 1) (Column 2) (Column 3)

AMENDMENT A	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	Minus	**	*
Independent	Minus	---	*
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

(Column 1) (Column 2) (Column 3)

AMENDMENT B	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	Minus	**	*
Independent	Minus	---	*
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

(Column 1) (Column 2) (Column 3)

AMENDMENT C	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA
Total	Minus	**	*
Independent	Minus	---	*
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM <input type="checkbox"/>			

- * If the entry in Column 1 is less than the entry in column 2, write "0" in column 3.
- * If the "Highest Number Previously Paid For" IN THIS SPACE is less than 20, enter "20."
- * If the "Highest Number Previously Paid For" IN THIS SPACE is less than 3, enter "3."
- * The "Highest Number Previously Paid For" (Total or Independent) is the highest number found in the appropriate box in column 1.

SMALL ENTITY TYPE ☐

OR OTHER THAN SMALL ENTITY

RATE	FEE	RATE	FEE
BASIC FEE	385.00	BASIC FEE	770.00
XS 9=	387.00	XS 18=	
X43=	212.00	X86=	
+145=		+290=	
TOTAL		TOTAL	

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
XS 9=		XS 18=	
X43=		X86=	
+145=		+290=	
TOTAL ADDIT. FEE		TOTAL ADDIT. FEE	

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
XS 9=		XS 18=	
X43=		X86=	
+145=		+290=	
TOTAL ADDIT. FEE		TOTAL ADDIT. FEE	

SMALL ENTITY OR

OTHER THAN SMALL ENTITY

RATE	ADDITIONAL FEE	RATE	ADDITIONAL FEE
XS 9=		XS 18=	
X43=		X86=	
+145=		+290=	
TOTAL ADDIT. FEE		TOTAL ADDIT. FEE	

PATENT APPLICATION FEE DETERMINATION RECORD
 Subtitle for Form PTO-875 Effective December 8, 2004
 Application or Dock Number: **10/264232**

APPLICATION AS FILED - PART I

FOR	NUMBER FILED (Column 1)	NUMBER EXTRA (Column 2)	SMALL ENTITY RATE (\$) FEE (\$)	OTHER THAN SMALL ENTITY RATE (\$) FEE (\$)
BASIC FEE (37 CFR 1.101) (M or N)	N/A	N/A	N/A 150.00	N/A 300.00
SEARCH FEE (37 CFR 1.102) (M or N)	N/A	N/A	N/A	N/A
EXAMINATION FEE (37 CFR 1.103) (M or N)	N/A	N/A	N/A	N/A
TOTAL CLAIMS (37 CFR 1.104)	minus 20 =		X\$ 25	X\$50
INDEPENDENT CLAIMS (37 CFR 1.105)	minus 3 =		X100	X200
APPLICATION SIZE FEE (37 CFR 1.106)	If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(C) and 37 CFR 1.106(i).			
MULTIPLE DEPENDENT CLAIM PRESENT (37 CFR 1.107)			+180=	+360=
			TOTAL	TOTAL

* If the entries in column 1 or less than zero, enter "0" in column 2.

APPLICATION AS AMENDED - PART II

AMENDMENT A

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	SMALL ENTITY RATE (\$) ADDITIONAL FEE (\$)	OTHER THAN SMALL ENTITY RATE (\$) ADDITIONAL FEE (\$)
Total (37 CFR 1.108) 23	Minus 03		X\$ 25	X\$50
Independent (37 CFR 1.109) 3	Minus 9		X100	X200
Application Size Fee (37 CFR 1.106)				
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.107)			+180=	+360=
			TOTAL ADD'L FEE	TOTAL ADD'L FEE

AMENDMENT B

CLAIMS REMAINING AFTER AMENDMENT	HIGHEST NUMBER PREVIOUSLY PAID FOR	PRESENT EXTRA	SMALL ENTITY RATE (\$) ADDITIONAL FEE (\$)	OTHER THAN SMALL ENTITY RATE (\$) ADDITIONAL FEE (\$)
Total (37 CFR 1.108)	Minus		X\$ 25	X\$50
Independent (37 CFR 1.109)	Minus		X100	X200
Application Size Fee (37 CFR 1.106)				
FIRST PRESENTATION OF MULTIPLE DEPENDENT CLAIM (37 CFR 1.107)			+180=	+360=
			TOTAL ADD'L FEE	TOTAL ADD'L FEE

* If the entry in column 1 is less than the entry in column 2, enter "0" in column 3.
 * If the Highest Number Previously Paid For in THIS SPACE is less than 20, enter "20".
 * If the Highest Number Previously Paid For in THIS SPACE is less than 3, enter "3".
 The Highest Number Previously Paid For (Total or Independent) is the highest number found in the appropriate box in column 1.
 A complete information is required by 37 CFR 1.14. The information is required to obtain or retain a benefit by the public which is to file (and by the PTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments, the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450; DO NOT SEND FEES OR COMPLETED FORMS TO THIS OFFICE. SEND TO: Commissioner for Patents, P.O. Box 1418, Alexandria, VA 22313-1418.

If you need assistance in completing the form, call 1-800-PTO-8188 and select option 2.

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2006 JAN 11 PM 11:32

US PATENT & TRADEMARK
OFFICE

\$455 - \$1030 = - \$575.00 I paid too much. Therefore, I request for a refund
\$575.00. Thank you

Please send the refund to me in check because my visa credit card
account: 4253 3202 8931 9145 had been closed.

Regards,

Yang Wang

(Yang Wang)

PATENT APPLICATION SERIAL NO. _____

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FEE RECORD SHEET

01/29/2004 WABRHAM1 00000074 10764252

01 FC:2001	385.00 DP
02 FC:2202	387.00 DP
03 FC:2201	258.00 DP

Adjustment date: 01/23/2006 SFELEKE1
01/29/2004 WABRHAM1 00000074 10764252
02 FC:2202 -387.00 DP
03 FC:2201 -258.00 DP

01/23/2006 SFELEKE1 00000011 10764252

01 FC:2201	43.00 DP
02 FC:2202	27.00 DP

Refund Ref: 0030028295
01/23/2006

Credit Card Refund Total: \$575.00

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PTO-1556
(5/87)